15

20

transformer;

CLAIMS

What is claimed is:

| 5 | 1 | . A | method | for | passively | aligning | two | optical | devices | for |
|---|--|-----|--------|-----|-----------|----------|-----|---------|---------|-----|
| | transferring light energy therebetween, comprising the steps of: | | | | | | | | | |

positioning a mode size transformer on a submount with a groove;

positioning a first optical device having a first modal profile on the submount having a groove;

aligning the first optical device with the transformer by sliding one of the first optical device and transformer along the groove, the transformer comprising a tapered waveguide section having a smoothly varying cross sectional area;

positioning a second optical device having a second modal profile on the submount; and

aligning the second optical device with the transformer by sliding one of the second optical device and transformer along the groove.

- 2. The method of claim 1, further comprising the steps of: receiving light energy from the first optical device with the
- transforming the light energy from the first modal profile to the second modal profile; and

propagating the light energy to the second optical device.

25 3. The method of claim 2, wherein the step of transforming the light energy, further comprises the steps of:

receiving the light energy at a first end of a tapered waveguide section having a first cross sectional area;

10

15

25

propagating the light energy along the tapered waveguide section having a variable cross section;

sending the light energy through a second end of the tapered waveguide section having a second cross sectional area substantially greater than the first cross sectional area.

- 4. The method of claim 1, further comprising the step of propagating light energy from the first optical device through a waveguide of the transformer to the second optical device.
- 5. The method of claim 1, wherein the step of aligning the first optical device with the transformer further comprises the step of positioning a longitudinal centerline marker of the first optical device over the groove.
- 6. The method of claim 1, wherein the step of aligning the first optical device with the transformer further comprises the step of positioning a clad stripe within the groove.
- 7. The method of claim 1, wherein the step of aligning the first optical device with the transformer further comprises the step of aligning a fiducial mark on the first optical device with a fiducial mark on the submount.
 - 8. The method of claim 1, wherein the step of positioning the first optical device having a first modal profile on the submount further comprises the step of positioning a laser diode to the submount.
 - 9. The method of claim 1, wherein the step of positioning the second optical device having a second modal profile on the submount further comprises the step of positioning an optical fiber within the groove of the submount.

10

15

| | 10. | A method for fabricating a mode size transformer, comprising the |
|---------------|----------|---|
| steps of: | | |
| • | | selecting a planar substrate; |
| | | applying a mask with a first shape to the substrate; |
| | | exposing the planar substrate to a ion solution; |
| | | applying an electric field to the planar substrate; and |
| | | forming a waveguide within the substrate by replacing ions of the |
| nlanar substr | ate with | ions of a metal via diffusion. |

The method of claim 10, further comprising the steps of:

determining if the metal ions have reached a first predetermined depth;

in response to the metal ions reaching the first predetermined depth, stopping the diffusion of the metal ions and replacing the first mask with a second mask.

- 12. The method of claim 11, further comprising the steps of:
 determining if the metal ions have reached a second predetermined depth;
- in response to the metal ions reaching the second predetermined depth, stopping the diffusion of the sodium and metal ions.
- 13. The method of claim 10, wherein the step of applying the mask further comprises the step of exposing a first portion of a waveguide to be formed in the planar substrate.

14. A mode size transformer comprising:a planar substrate; and

an optical waveguide disposed in the planar substrate, the optical waveguide comprising a tapered section, the tapered section comprising a smoothly varying cross sectional area.

- 15. The mode size transformer of claim 14, wherein the tapered section comprises rounded portions disposed within the planar substrate.
- 16. The mode size transformer of claim 14, further comprising a clad stripe attached to the waveguide and the planar substrate.
- 17. The mode size transformer of claim 14, wherein the planar substrate comprises one of silica and glass.

15

| | 18. | A system | for | passively | aligning | two | or | more | optical | devices |
|-------------|-----|----------|-----|-----------|----------|-----|----|------|---------|---------|
| comprising: | | | | | | | | | | |

a submount having a groove;

a first optical device with a first modal profile and positioned adjacent to the submount;

a second optical device with a second modal profile and positioned adjacent to the submount;

a mode size transformer positioned adjacent to the submount, for matching the first modal profile to the second modal profile, the mode size transformer comprising:

a planar substrate; and

an optical waveguide disposed in the planar substrate, the optical waveguide comprising a tapered section, the tapered section comprising a smoothly varying cross sectional area.

19. The system of claim 18, wherein the groove has a V-shape.

20. The system of claim 18, further comprising one of a centerline marker disposed on one of the optical devices and fiducial marks disposed on the transformer and the submount.